

ATTORNEY DOCKET NO.  
11321-P079WOUSPATENT  
Serial No.10/573,902

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Christopher A. Dyke, et al.  
Serial No: 10/573,902  
Filing Date: October 28, 2004  
Group Art Unit: Unknown  
Confirmation No: 2103  
Title: *Thermal Treatment of Functionalized Carbon Nanotubes in Solution to Effect Their Defunctionalization*

Mail Stop: Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.  
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

In response to the Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US), dated October 18, 2006, enclosed are the following documents:

1. Declaration signed by all inventors;
2. A copy of the Notification Of Missing Requirements;
3. Check in the amount of \$65.00;
4. Power of Attorney;
5. Statement Under 37 C.F.R. 3.73(b);and,

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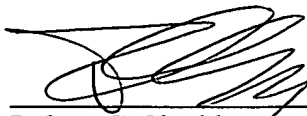
6. Return Postal Receipt Card.

Applicant does not believe any other fees are due at this time. However, if this is incorrect, the Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 23-2426 (referencing matter 11321-P079WOUS).

Dated:

11/9/06

Respectfully submitted,



Robert C. Shaddox  
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ATTORNEY FOR APPLICANTS

**CERTIFICATE OF MAILING**

I hereby certify that the attached *Response to Notification of Missing Requirements* is being deposited with the USPS, as first class mail, with sufficient postage, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 9<sup>th</sup> day of November, 2006.

11/9/06  
Date

J. E. Allen  
Signature

DCT/1/9



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/573,902	William Marsh Rice University	11321P079WOUS

INTERNATIONAL APPLICATION NO.
PCT/US04/35894

I.A. FILING DATE	PRIORITY DATE
10/28/2004	10/31/2003

Ross Spencer Garsson  
Winstead Sechrest & Minick  
P.O. Box 50784  
Dallas, TX 75201

RECEIVED ON

OCT 23 2006

BY WINSTEAD

CONFIRMATION NO. 2103

371 FORMALITIES LETTER



\*OC000000020871596\*

Date Mailed: 10/18/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/29/2006
- Copy of the International Search Report filed on 03/29/2006
- Copy of IPE Report filed on 03/29/2006
- Preliminary Amendments filed on 03/29/2006
- Request for Immediate Examination filed on 03/29/2006
- U.S. Basic National Fees filed on 03/29/2006
- Priority Documents filed on 03/29/2006
- Specification filed on 03/29/2006
- Claims filed on 03/29/2006
- Abstracts filed on 03/29/2006
- Drawings filed on 03/29/2006

OCT 24 2006

By: RMT

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/573,902	PCT/US04/35894	11321P079WOUS